

January 2, 2002

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Express Mail Label No. EL821158200US

0018,0102

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): D.A. Burton and D.A. Williams  
For: **METHOD, SYSTEM AND PROGRAM FOR DIRECT CLIENT FILE  
ACCESS IN A DATA MANAGEMENT SYSTEM**



Enclosed are:

- ☒ 10 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;  
25 pages of Application; 16 pages of specification, 1 page of abstract  
☒ An assignment of the invention to International Business Machines Corporation. (      Will follow.)  
     An associate power of attorney.  
     A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Declaration and Power of Attorney. (      Will follow.)  
     Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
     IDS enclosed - references     .

CALCULATION OF FEES						
	ITEM	NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT
A	TOTAL CLAIMS FEE	39	- 20* =	19	X \$9 or \$18	\$342
B	INDEPENDENT CLAIMS FEE**	6	- 3* =	3	X \$42 or \$84	\$252
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					594
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280					\$0
E	BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$740					\$740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,334
G	ASSIGNMENT RECORDING FEE					\$40
	**LIST INDEPENDENT CLAIMS 1, 10, 14, 23, 27, 36					

Please charge Dep. Acct. No. 50-0585 in the amount of

\$0

A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 1,334 to cover the filing fee is enclosed.  
☒ Check for \$ 40 covering the Recordation of Assignment fee enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. **A copy of this sheet is enclosed.**  
☒ Any additional filing fees required under 37 CFR 1.16.  
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     Any patent application processing fees under 37 CFR 1.17.  
     The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
     Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

*David W. Victor*  
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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for  
D.A. Burton and D.A. Williams  
Serial No.: --  
Filed: January 2, 2002  
For: **METHOD, SYSTEM AND  
PROGRAM FOR DIRECT CLIENT FILE  
ACCESS IN A DATA MANAGEMENT  
SYSTEM**

Examiner: --

Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL821158200US

I hereby certify that patent application papers, including specification, claims and 10 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

January 2, 2002

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)



(Signature)